

PATENT APPLICATION

## CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

*JFB*

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Maria T. Sanchez  
(Print Name)

Date: March 1, 2005

Maria T. Sanchez  
(Signature)

Docket No. 2002JP309

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Yasushi AKIYAMA et al.

Art Unit: To be Assigned

Serial No. 10/518,105, filed December 10, 2004

Examiner: To be Assigned

For: PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
FOR USE IN THE SAME

**INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING  
OR BEFORE MAILING OF THE FIRST OFFICE ACTION  
(37 CFR 1.97 (b))**

March 1, 2005

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

**2. PRELIMINARY STATEMENTS**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Pursuant to the notice in the Official Gazette notice of 5 August 2003, 1276 O.G. 55, copies of US Patents and/or US Patent Publications are not being enclosed as the present application has a filing date after June 30, 2003.

**3. COMPLETED FORM PTO-1449 IS ATTACHED.**

**4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE SUBMITTED OR NEED BE SUBMITTED**

[ ] This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.

**5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT**

EP 1 306 726 A1

EP 0 522 990 A1

JP 2643056

JP07-181685

JP09-246166

JP04-51020

JP61-179435

English Abstract of JP2001-133984  
English Abstract of JP2002-148821  
English Abstract of JP06-148896  
English Abstract of JP05-326389  
English Abstract of JP2000-010294  
English Abstract of JP09-325500  
English Abstract of JP09-246166  
English Abstract of JP04-51020  
English Abstract of JP61-179435

Legible copies of all items listed accompany this information statement except as noted herein.

[ ] Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

#### 6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

[X] Submitted herewith is an English language abstract of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

English Abstract of JP2001-133984  
English Abstract of JP2002-148821  
English Abstract of JP06-148896  
English Abstract of JP05-326389  
English Abstract of JP2000-010294  
English Abstract of JP09-325500  
English Abstract of JP09-246166  
English Abstract of JP 04-51020  
English Abstract of JP61-179435

[X] No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

[X] The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

EP 1 306 72 A1 is equivalent to JP 2002-6514 (see page 7 of the specification; see also International Search Report listing for WO 02/29493 A1; also equivalent to US Patent Publication 2003/0180667).

EP 0 522 990 A1 is equivalent to JP2643056 (see page 2 of the specification).

Pursuant to the Official Gazette notice of 5 August 2003, 1276 O.G. 55, since this application entered the National Stage under 35 USC 371 after June 30, 2003, applicant is not enclosing copies of the above mentioned U.S. patents and/or U.S. patent publications.

Copies of WO 02/29493 A1, JP2001-133984, JP2002-148821, JP06-148896, JP05-326389, JP2000-10294, and JP09-325500 are not enclosed as they were cited in the International Search Report submitted with applicants' National Stage filing of December 10, 2004.

8. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION  
DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:

  
\_\_\_\_\_  
Attorney for Applicant(s)  
Alan P. Kass  
(Reg. No. 32142)  
70 Meister Avenue  
Somerville, New Jersey 08876  
Telephone: (908) 595-3890  
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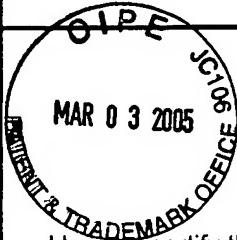
Customer No. 26,289

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Yasushi AKIYAMA et al.

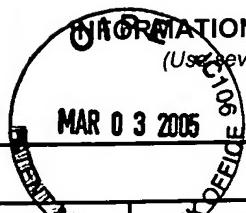
Docket No.

2002JP309

Application No.  
10/518,105Filing Date  
December 10, 2004Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be Assigned**Invention: PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
FOR USE IN THE SAME**I hereby certify that this **Information Disclosure Citation - 5 Pages**  
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**March 1, 2005***(Date)***MARIA T. SANCHEZ***(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature in black ink, appearing to read "Maria T. Sanchez".

*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**


**INFORMATION DISCLOSURE STATEMENT**
*(Use several sheets if necessary)*

ATTY DOCKET NO.

2002JP309

APPLICATION NO.

10/518,105

APPLICANT(S)

Yasushi AKIYAMA et al.

FILING DATE

December 10, 2004

GROUP ART UNIT

To Be Assigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	TRADEMARK OFFICE 9016	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 1 306 726 A1		EUROPE				
		EP 0 522 990 A1		EUROPE				
		JP 2643056		JAPAN				
		JP07-181685		JAPAN				
		JP09-246166		JAPAN				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		English language abstract (from Japanese Patent Office) of JP2001-133984.
		English language abstract (from Japanese Patent Office) of JP2002-148821.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

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**Yasushi AKIYAMA et al.**

FILING

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							YES	NO
		JP04-51020		JAPAN				
		JP61-179435		JAPAN				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

English language abstract (from Japanese Patent Office) of JP06-148896.

English language abstract (from Japanese Patent Office) of JP05-326389.

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			English language abstract (from Japanese Patent Office) of JP09-246166.
			English language abstract (from Japanese Patent Office) of JP04-051020.

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English language abstract (from Japanese Patent Office) of JP61-179435.

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Yasushi AKIYAMA et al.

Docket No.

2002JP309

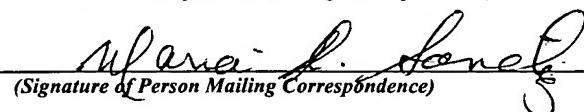
Application No.  
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December 10, 2004Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be AssignedInvention: **PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
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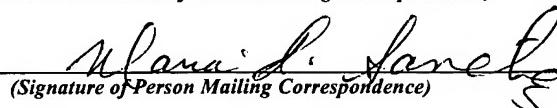
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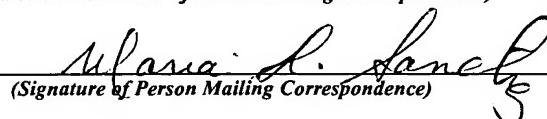
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To Be AssignedInvention: **PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
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Applicant(s): Yasushi AKIYAMA et al.			
Application No. 10/518,105	Filing Date December 10, 2004	Examiner To Be Assigned	Customer No. 26,289
Group Art Unit To Be Assigned			

Invention: **PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
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March 1, 2005

(Date)

**MARIA T. SANCHEZ**

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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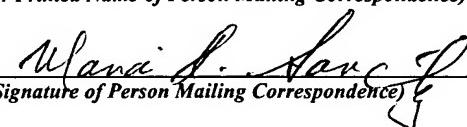
2002JP309

Application No.  
10/518,105Filing Date  
December 10, 2004Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be AssignedInvention: **PROCESS FOR PREVENTING DEVELOPMENT DEFECT AND COMPOSITION  
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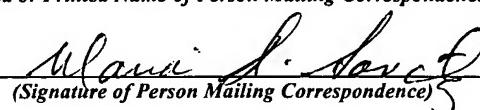
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